

PLANNING WITHOUT BORDERS: IMPLEMENTATION OF MARITIME SPATIAL PLANNING DIRECTIVE (2014/89/EU) IN THE ADRIATIC IONIAN REGION

Francesco Muscetta¹, Elena Gisè¹, Federica Appiotti¹, Irene Bianchini¹, Denis Maragno¹

¹ University Iuav of Venice, Department of Design and Planning in Complex Environments, Venice, Italy
msp@iuav.it

Abstract

Over the last decade, in response to the increasing demand for maritime spaces (EU Directive 2012), a growing attention has been paid to Maritime Spatial Planning, identifying and promoting a rational allocation of maritime uses and for balancing the demand for maritime activities with the need to preserve the integrity marine ecosystems (Ehler & Douvère, 2009). Although spatial planning is not a formal EU competence, the European legislator has developed a common approach to maritime spatial management across all Member States (COM(2008)791 final). In particular, with the entering in force of the new Directive 2014/89/EU, to be ratified within 2016, all Member States will have to identify competent authorities and develop boundary maritime spatial management plans within 2021.

The development of trans-boundary MSP and its integration with existing planning systems pose a set of specific challenges, mainly in terms of cooperation efforts, which are required to develop adaptive planning schemes in marine spaces (Ehler & Douvère, 2009). The first challenge is cross-boundary cooperation. The adoption of an ecosystem-based approach to MSP (Dir. 2000/60/EC) creates a necessity to stimulate neighbouring countries to embed their marine spatial planning in a context that takes into account ecosystem issues beyond their national boundaries. At the same time, the cross-border nature of maritime affairs requires a strong effort to overcome barriers imposed by administrative borders and international framework agreements. This effort also be fostered among subjects with authoritative power on maritime spaces and among planning authorities, in order to promote a multi-scalar approach to MSP and to overcome the national and sub-national scale. A second, important, organizational challenge is coordination: it is indeed necessary to promote the cooperation among public bodies and the sectoral development of those maritime activities, whose management has to be integrated under a MSP umbrella. Finally, MSP should be based on a continuous process of institutionalization based on mixing expert, scientific and sectoral knowledge and ultimately aimed at a participatory planning process.

The guiding question to be answered in this work concerns the role that planners should play. On the one hand, it is argued that spatial planners could guide this coordination and the organization of collaborative and adaptive MSP, also contributing to foster the integration with existing land planning systems (Smith & Maes, 2011). On the other hand, it is argued that they will have to significantly update their professional skills and competences to cope with the challenges posed by trans-boundary MSP issues.

As an example, the case of the [ADRIPLAN Project](http://ADRIPLAN.eu) (ADRIPLAN.eu) will be presented. It is supported by the DG Mare - is setting the first example of maritime spatial planning in the Ionian Macroregion, involving 6 countries in the basin (Italy, Slovenia, Croatia, Greece). It will test a transboundary MSP example for the AIR and for two focus areas. A realistic scenario at 2020 and proceeding with the definition of an actions portfolio. The programs 2014–2020, local land and coastal planning, regulative and legal system. The planning test for AIR will terminate by July 2015.

1. Introduction: towards a common maritime spatial management in the EU

Coastal and marine ecosystems have been subjected to increasing anthropogenic pressures in the last fifty years (UNEP MEA 2005). The demand for maritime and coastal spaces for different purposes and one for natural resources are indeed constantly and rapidly increasing (UNESCO 2004).

2009). On the one hand, this is leading to an overexploitation of marine and coastal resources, loss of biodiversity in marine and coastal systems and to the consequent degradation of ecosystems to produce ecosystem services (see Worm et al. 2006). On the other hand, new challenges have emerged with respect to the use of marine and coastal spaces. As a consequence, it is necessary to develop a comprehensive framework capable of promoting the definition of common management plans.

The need to define policies and tools for the integrated management and planning of marine and coastal areas has acquired an increasing relevance in the European context. The EU has laid the foundations for the development of an Integrated Maritime Policy (IMP, launched through the 2002 Blue Paper), which in general terms aims at conciliating economic development and environmental requirements, as defined by the Marine Strategy Framework Directive (Dir. 2008/56/EC).

The Directive has identified Maritime Spatial Planning (MSP) as a proper tool to be used for the rational use of marine resources. According to the new Directive on MSP (2014/89/EU), all Member States will be required to implement the Directive within 2016, to identify competent authorities for MSP and to develop management plans within 2021.

A functional coexistence of human activities in the marine space and the reduction of pressures on the marine and coastal environment are some of the main goals of the European policy. Directive 89/2014 identifies MSP, using an approach ecosystem-based (EBA), as the tool to achieve these goals. Eheler and Douvère (2007) defined Maritime Spatial Planning as a process of analyzing and allocating the spatial and temporal distribution of human activities in the marine environment to achieve ecological, economic and social objectives that have been specified through the Directive. In order to be effective, the process must have some key characteristics. It has to be participatory, both across sectors and governments, adaptive, thus capable of learning from experience, and ecosystem-based. The application of an ecosystem-based approach aims at ensuring that the collective pressure of all the human activities is kept within levels compatible with the current ecosystem services and that the capacity of marine ecosystems to respond to these pressures is not compromised. As a matter of fact EBA is defined a process that recognizes the complexity of the ecosystem as a whole and humans as part of the ecosystem and of its dynamics. The different uses of ocean space are thus closely interrelated and need to be considered as a whole. The key characteristics of an ecosystem-based approach are to: (i) balance the increasing number, diversity and intensity of human activities with the sea's ability to provide services; (ii) incorporate appropriate scientific, economic and cultural perspectives; (iii) support management that is coordinated at the scale of the relevant political jurisdictions (Foley et al. 2010).

2. Implementing Maritime Spatial Planning: new challenges to be faced

A first important element to be considered when approaching MSP is that it is a complex process that requires the involvement of researchers, planners and practitioners. Despite a number of approaches to MSP have been developed, a common methodological framework has not yet been established. Further challenges have been implementation, so that there is a substantial lack of transferable best practices. The development of the different phases which composed the adaptive MSP planning process. A major consideration concerns the difficulty of applying a common framework to a process that is inherently place-based, i.e. grounded on the specificity of local economic and ecological dynamics.

In this framework, a major challenge is represented by the development and implementation of MSP within a context where the maritime one where borders are often uncertain and fleetingly defined, as opposed to the one used in land-based planning. A substantial lack of well defined maritime boundaries is often accompanied by disagreements among involved authorities and stakeholders. The adoption of transboundary planning in a maritime context faces at least four significant challenges:

1) Integrating MSP in existing (land-based) planning schemes

The inclusion of maritime spaces within existing planning systems constitutes a first challenge, both at the governance and at the implementation level. It deals not only with the application of MSP, but also with the adoption of a new perspective in approaching both to maritime and land-based planning. The integration of MSP in the existing, land-based, planning schemes requires a strong trans-boundary cooperation effort: planning schemes and planning management systems must be able to coordinate and integrate the different activities and interests of the various stakeholders involved in the process.

country to country. Not only competent authorities for planning operate at different scales, but also the management of maritime activities is highly fragmented (within and among countries and across different competences fields, spatial jurisdiction and regulatory frameworks. Planners should identify the relevant bottleneck related to governance and management fragmentation, and the definition of planning measures – the definition of spatially and sectorally integrated measures.

2) Defining shared management and planning boundaries

Notably, the need to adopt a new, sea-oriented, perspective does not only emerge from the need for specific normative provisions (i.e. to the elaboration of MSP plans within 2021), but also from the necessity to solve both user-user and environment-user conflicts. This need has been raised at local/regional scale, and has been raised by stakeholders involved in the use/management of coastal spaces. The necessity to define, at different scales, shared management and planning and management shared by different authorities and involved stakeholders is crucial in order to develop a politically accepted plan.

3) Define boundaries capable of embracing ecosystems

The previously described ecosystem-based approach to maritime spatial planning and management pursues this objective, a significant effort is needed to overcome the definition of management boundaries, considering political issues related to competence areas (e.g. national boundaries). The traditional approach to boundary definition should necessarily be based on trans-boundary cooperation.

4) Knowledge sharing

Finally, the definition of integrated MSP strategies and actions should be based on the sharing of information and, in more general terms, on a mix of expert, scientific, operational and management information. Acquiring data and information from different sources (including relevant stakeholders) and overcoming of science-policy barriers and the fostering of cross-border cooperation in data acquisition and management.

3. A new role for planners

The challenges described in the previous part require a revision of the role that planners play in the complex process of decision-making posed by MSP. As a matter of fact, an effective management of maritime and coastal areas involves not only technical capabilities of problem solving, but also the need for building among sectors and economic actors, capacity building between communities, coordination of policy-makers, and sharing of knowledge and technical data as recommended in the EU Maritime Policy (IMP). MSP requires a substantial new role of planner as coordinator of different disciplines and disciplinary competences. In certain sense planner as to anticipate the extension of maritime spaces, finding proper connection between land&sea starting with existing maritime spaces. The adoption of a new perspective requires an update in the planning competence of planners. Plans will have to encompass social, cultural, economic and environmental issues. The planning team should include in the planning team planners with heterogeneous knowledge and expertise.

4. Lesson learned from the EU experiences

Following the increasing importance attributed to ICM and MSP and within the non-binding framework of the Directive, has led to the elaboration of the Directive on MSP, a number of planning exercises have been developed at different scales. Within the EU, the most relevant examples of MSP exercises financed by the European Commission – are the following (see EC – Maritime Affairs – 2014):

1. BaltSeaPlan/Baltic Sea Region Programme project "Introducing Maritime Spatial Planning in the Baltic Sea" (2009–2012): aiming at identifying MSP strategies for the Baltic Sea, the project was strongly focused on cross-border cooperation coming from Germany, Poland, Denmark, Sweden, Estonia, Lithuania and Latvia. The project's Vision for the Baltic Sea, based on the motto "Think Baltic, act regionally", identifies pan-baltic objectives and strategies, while establishing the principles of management according to which spatial challenges have to be dealt with at the lowest possible level.

2. Plan Bothniⁱⁱ Preparatory Action on Maritime Spatial Planning (2010-2012) Baltic Sea transboundary pilot project developed in the Bothnian Sea. The Pilot Plan, joint effort of Sweden and Finland and coordinated by HELCOM, aims at providing a long-term strategy for the area analysed, also considering possible developments in the land-sea interface and the integration of maritime spatial plans in the existing national planning frameworks. The plan established cover ecosystem integrity; protected areas; maritime traffic; regional development.
3. MASPNOSEⁱⁱⁱ - Preparatory Action on Maritime Spatial Planning in the North Sea starting from the identification of specific priority uses and strategic sectors. The project developed an international fishery plan for the Dogger Bank in the North Sea and an exploration of the potential for collaboration on the Thornton Bank in the North Sea.
4. TPEA^{iv} - Transboundary Planning in the European Atlantic (2012-2014): the project in the DG Mare, the project involves partners from Ireland, Portugal, Spain and France. It is focused at developing a transboundary planning proposal for two focus areas, the Atlantic region (within the waters of Northern Ireland and the Republic of Ireland) and the Atlantic region (within the waters of Spain and Portugal situated around Guadiana).

Such planning exercises have been developed not only to solve effective conflicts in the actual allocation of maritime uses, but also to define possible methodological approaches used in the definition of integrated and ecosystem-based maritime spatial plans. As a result of these planning exercises and studies, the following elements have a crucial role:

- Definition of a common vision for maritime areas of strategic importance at the regional level;
- Enhancement of cross-border cooperation to support EU cohesion and integrated development;
- Acquisition of data and information related to local ecosystem dynamics, socio-economic and political characteristics of the uses analysed;
- Identification of relevant stakeholders to be involved in the definition of maritime spatial plans;
- Establishing of a dialogue among the stakeholders involved in the use and management of maritime spaces;
- Increase of the coordination among policy makers and coordinators.

The most recent planning experimentation developed in this context is constituted by the project, financed by the DG MARE, which is finalized to propose and recommend a plan for the Adriatic-Ionian Region (AIR) (Fig. 1).



Figure 1. Adriatic-Ionian Region (IUAV, 2014)

5. Implementing MSP in the Adriatic-Ionian Region: the ADRIPLAN Project

Concerning the AIR, MSP is an important part of EUSAIR (EU Strategy for the Adriatic-Ionian Region), defined as an integrated framework to address common issues of EU Member States located in the Adriatic-Ionian Macro-region. ADRIPLAN (which stands for Adriatic-Ionian maritime spatial PLANNing) is a 18-months project started in December 2013, an experimentation led in the Mediterranean Basin. It aims at defining a commonly agreed border MSP in the Adriatic-Ionian Macro-region, with a particular focus on two areas: the Adriatic and the Southern-Adriatic/Northern-Ionian.

The project, coordinated by CNR-ISMAR, is developed by a transnational partnership of technical partners (mainly Universities and Research Institutes) which are responsible for project activities under the steering, the supervision and the support of 9 international regional authorities) and of an external Advisory Board. Through the direct involvement and the inclusion of relevant stakeholders in different phases of the planning process, (i) identify key issues to be faced, (ii) define strategic objectives to be set for the project and cross-border planning options both in the macro-area and in the two focus areas, (iii) the elaboration strategic recommendations for the development of maritime spatial planning, (iv) define a planning proposal for the allocation of uses in focus areas characterized by high pressures of the identified uses on ecosystems and environmental quality. ADRIPLAN also aims at constructing a solid knowledge base to be used within the project and available for the elaboration of future plans.

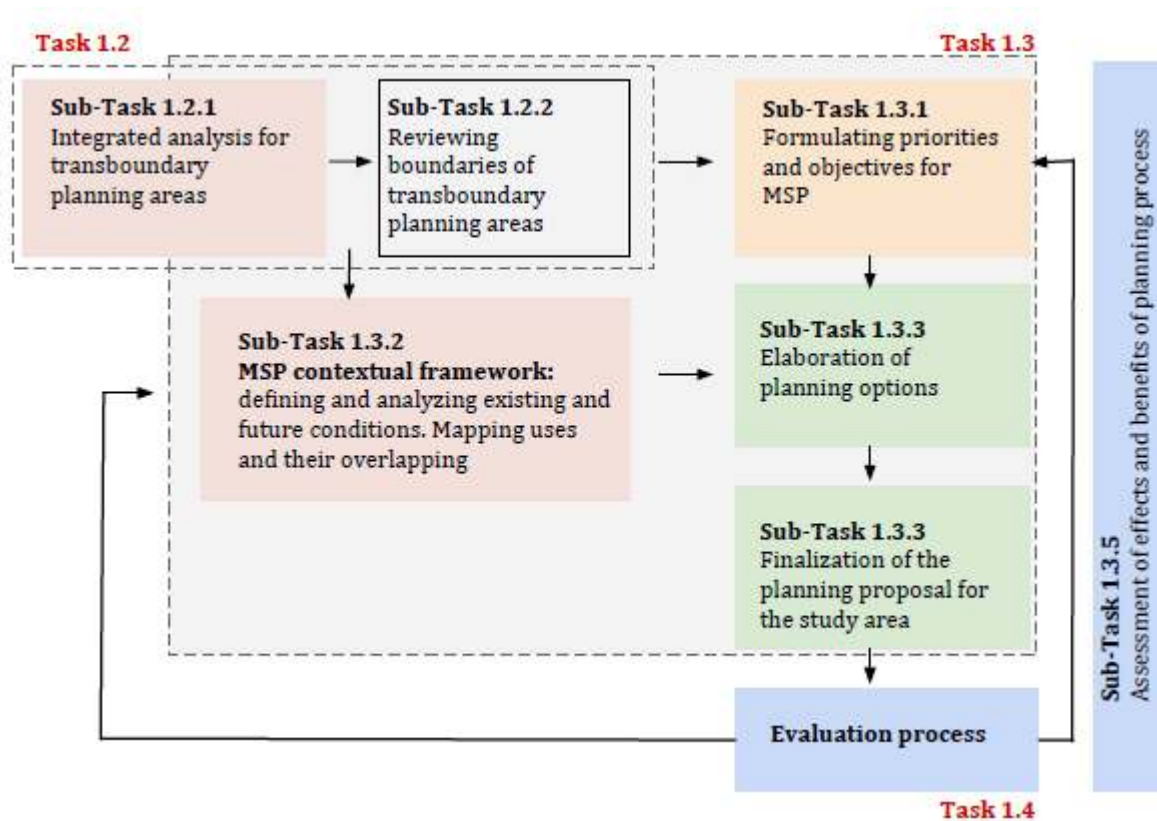


Figure 2. ADRIPLAN Methodology (IUAV, 2015)

Accordingly with the EU Directive on MSP (2014/89/EU), the literature available experiences conducted both at European and extra-European level, ADRIPLAN methodology following an Ecosystem-Based Approach. The project methodology is constructed to be future oriented and integrate plan of the Adriatic-Ionian area and is articulated. First of all, an analysis of the transboundary planning area is structured to consider area's existing conditions, dynamics and current pressures on the environment. Aim

- identify human activities present in the project area;
- identify the existence of vulnerable ecosystems;
- identify the socio-economic issues connected to sea-based activities;
- identify planning and governance structures in the different project countries and scales;
- identify limitations on current and potential uses;

Secondly, considering that the project aims at developing proposal and recommendations on the Adriatic-Ionian Macroregion, but also on two Focus Areas (1. Northern Adriatic-North Ionian), a review of boundaries of transboundary planning areas is conducted. With EBA, the definition of boundaries have to be made considering the different scales including: (i) environmental pressures and needs; (ii) intensity and multiplicity of uses involved in the process; (iv) policy priorities and cross-border issues (v) coordination at different scales.

Following these two preliminary activities, the formulation of priorities and objectives for the Macro-region and for the two Focus Areas, is established in order to guide the subsequent work. This activity considers the strategic goals and objectives promoted at the International and transnational, national and local stakeholders issues and needs.

In parallel with this activity, the definition and analysis of existing and future uses and uses-environment conflicts, compatibilities opportunities and potential s

activity is performed using different tools, data elaboration methodologies and data and information collected in the previous phases. Moreover, the analysis of conditions, made taking into consideration the defined priorities and objectives, planning issues, options and measures as indicated in the process of planning elaboration. In order to acquire all the necessary information, data and suggestions to perform an EBA, specific activities of stakeholders involvement must be planned and conducted. Stakeholders acting at different scales and level is essential to support the planning needs, conflicts, priorities and dynamics. Finally, an activity of monitoring and evaluation of the different phases performed. Results achieved is established in order to make the whole process as adaptive as

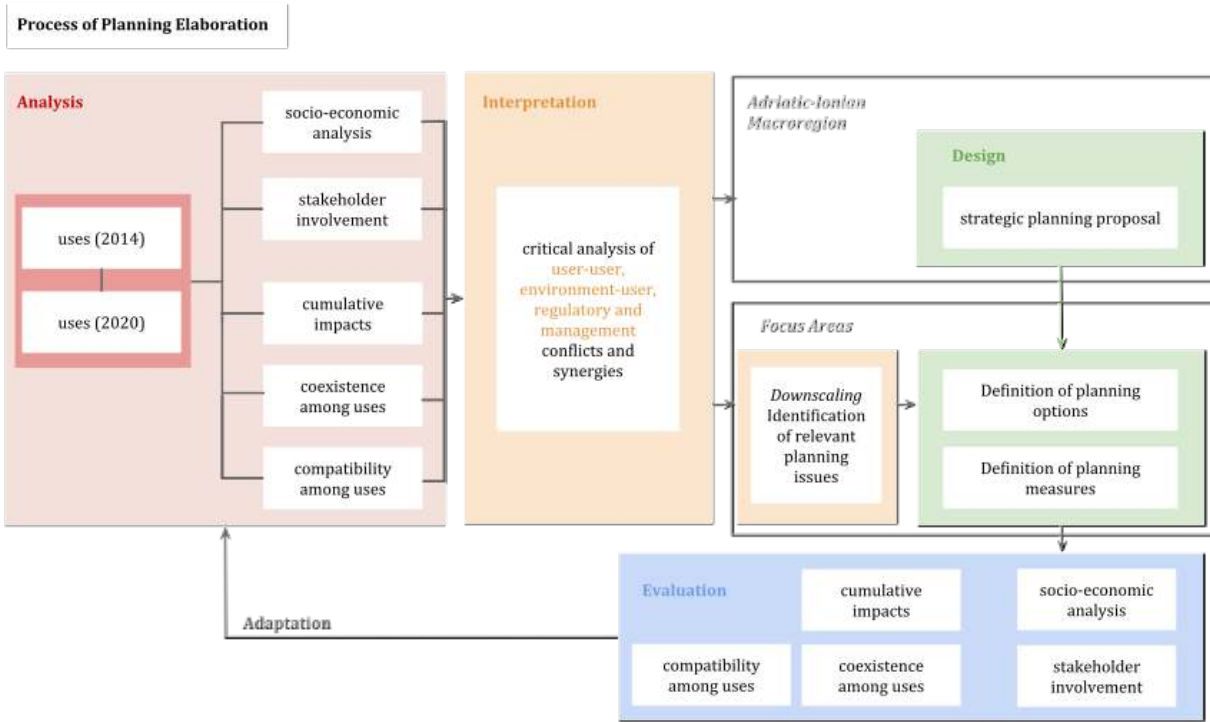


Figure 3. The process of Planning Elaboration (IUAV, 2015)

For what concerns more specifically the process of planning elaboration, in ADRIE is cyclical and adaptive (Fig.3), based on the steps established by the methodology in Fig. 2.

In the first phase, current and future uses are analysed, considering interactions with environmental components. Future uses are part of an explorative scenario (a) interactions of expected projects and already planned initiatives in the region. future uses is aimed at identifying user-user and environment-user conflicts and respectively minimized or fostered by properly designed planning actions, but a planning issues (including management and regulatory issues influencing planning priorities by stakeholders involved in the process.

In the second phase, all the information acquired are interpreted, also in light relevant stakeholders, including institutional actors operating at the national a face the complexity deriving from the intensity of uses in the area, this critical starting from the analyses of pivotal uses. These can be defined as pivot of starting points upon which the planning proposal should be developed, always objective of achieving an allocation of uses capable of minimizing existing and uses and between maritime uses and the marine environment in a transboundary perspective. uses are not more important than the others, but are the ones with the higher capacity and of allowing for the emergence of existing and potential conflicts that need to be integrated and interdisciplinary approach. This phase will result in some comments

conflicts/synergies, to be potentially addressed by a strategic plan, as well as potentially addressed by specific planning measures. These will provide the basic planning actions, as defined in the following step.

Thirdly, two kinds of planning proposal are elaborated: a strategic, large-scale Ionian macro-region and some operative planning measures (pilot actions) for the strategic plan will represent a technical and political statement of policy from the area of interest and competences. It will consist in a cartographic part and in a text. The former will identify: (i) boundaries for potential management and regulation in management zones; (iii) Potential development areas for pivotal uses; (iv) Protected non-suitable areas for the development of specific uses, in relation to the environmental components and/or to the coexistence of non compatible uses, etc.).

(i) at drafting indications/suggestions for regulating specific uses/maritime activities management schemes; and (ii) at providing indications to support trans-boundary cooperation on specific themes. The pilot measures will be developed at the focus-area level, addressing specific challenges emerged always assuming a multi-scalar and- where applicable a regional perspective. Planning measures will consist in a zoning proposal and in a description of the indication provided by UNESCO-IOC (2012), the zoning proposal will consist in designing zones based on the underlying topography, oceanography and distribution of uses. 2) designing a set of indications to define permits, licenses and use rules within the focus area will be set accordingly three elements: a) the environmental components units at the focus area level; b) the vertical zoning where it is necessary to provide different rules of use within the focus area case also on the seafloor; c) the temporal zoning to define a timeframe (both in terms of periods) to set boundaries, permits and regulations, where needed.

Finally, guidelines for the evaluation of planning measures will be provided, including the potential redefinition of proposed actions.

To sum up, Maritime Spatial Plan will include a vision, policies, objectives and actions relevant both at AIR level and at the focus area level to guide decision makers to undertake activities or development. Taking into consideration the minimum requirements of the Directive (EC, 2014), the planning documents will consist on the minimum of:

- strategic spatial visions for the areas;
- targets for key activities and interests, considering maritime activities and their interactions;
- guidance for statutory planning activities;
- proposed local and transboundary spatial strategies;
- locational possibilities and zoning proposals;
- good practice / user recommendations.

Where possible, more detailed proposals for areas requiring special attention will be provided.

6. Conclusions

For too long coasts and maritime space have been seen as sectoral environmental issues. The mainstream policy regarding land and environmental planning framework. With the approach supported MSP, maritime space will receive the same attentions in terms of land and territorial space. In this sense the expansion of planning processes to the connection between land&sea systems with a particular attention to coastal areas to the border between the territorial and maritime space. Surely after the approval of EC Directive a new perspective in terms of professional skills and competence, is coming for Europe.

ⁱ see <http://www.baltseaplan.eu/>

ⁱⁱ see <http://planbothnia.org/>.

ⁱⁱⁱ see <https://www.wageningenur.nl/en/show/Maspnose-Maritime-spatial-planning-in-the>

^{iv} see <http://www.tpeamaritime.eu/wp/>

^vsee <http://data.adriplan.eu/>.

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