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Simplicity and Complexity in Cities and Their Planning

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Simplicity and Complexity in Cities and Their Planning

Abstract

What are the contemporary conditions of complexity and simplicity, and their contestation in the context of urban sustainability? Objective of this paper is to critically examine a range of contemporary efforts that creatively engage these issues as we seek to transform the urban experience in the 21st century. A fundamental hypothesis is advanced, theoretically, based on a preliminary synthesis of the laws of thermodynamics, systems dynamics, and socio-econo-political theory. The hypothesis is that the more simple the less complex a system, the more sustainable it is. This hypothesis suggests that accelerating degrees of complexity of societies in a global era is a key driver of unsustainability. This is coupled with and indeed underlies and makes possible two other factors that are often cited as the key drivers of sustainability: the increasing population and human activities such as production, consumption, and their impacts; along with accelerating rates of change of human phenomena, so that together in combination lead away from sustainability due to the fundamental principles of various sciences including and especially thermodynamics and ecology.

Furthermore and more fundamentally, the degree of complexity of a system, especially dynamic human social systems of varying forms as well as of varying spatial and temporal dimensions, underlies and gives rise to the possibility of different scales of magnitude of social systems such as cities, organisations, institutions, infrastructure networks, for example, and simultaneously gives rise to the acceleration of change of human processes that flow through those systems, such as the flow of information and knowledge flows attendant to routine economic transactions of everyday life. Giving rise to the possibility of acceleration, in this context, means to provide the infrastructural and administrative armatures that enable all human transactions, most often enabled by institutions. Heidegger referred to this collective of enabling mechanisms as 'enabling technology' (Heidegger 1977). In a global society these refer to but certainly include big data, the cloud and the numerous apps, softwares and hardwares that constitute an ever-expanding, ever more interactive, and ever more complex constellation of networks.

To the extent that this premise is true, this means that the measurable degree of complexity of human society and its social constructs and processes is fundamental to their sustainability in an essential and ontological way. In an important way, complexity thus conditions the prospect of social institutions and processes being governable and sustainable,

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degree. Moreover, following this logic, it is hypothesised that the degree of complexity is inversely correlated with the degree of governability and the degree of sustainability of institutions and other social collectivities and their activities.

The complexity of a dynamic and living social system is based upon its ability to harness energy and information in order to support its growth and evolution. The more information that is directed towards its development, the greater its degree of complexity (Schneider and Sagan 2005). However, if this energy is not produced and utilised in a sustainable way, as much energy is nowadays, then it leads the system that uses it away from sustainability. Much the same can be indicated for information, which is essential to both the development of the system - norms, rules, data, knowledge - and its management and control. However, information gathering and processing consumes a great deal of energy, and in increasing amounts. In this manner, complexity increases and underlies the increases in scale and rates of change of a system; rather than being alongside the latter two as co-equals. Furthermore, according to this view, complexity is not mere increases in scale and rates of change, is more fundamentally responsible for the current state of human affairs and their increasingly unsustainable and ungovernable nature. Increases in complexity are demonstrably less and less capable of being managed and controlled by existing mechanisms and institutions as currently constituted, and are a key driver away from sustainability today.

The paper continues below with an exposition of this argument, concluding with analytical remarks that suggest several new lines of research regarding the interrelationships between complex and simple components and aspects of eco-socio-technical systems are proposed for the development of planning practice and its theory. These lines are yet to be explored at the time of this writing.

Background and Literature Review: Social science perspectives on complexity, particularly in the context of urban systems, are still limited in scope and quantity, relative to natural sciences and engineering, where there are more (May 1973, Batty 2007, Berkes, et al. 2003, Urry 2000, Porter 2012, de Roo et al. 2012). There is even less scholarship on the notion of complexity in urban systems (Elgin 1993, Miller 1993, Etzioni 2004, Maeda 2006). Scholarship on their interrelationships is virtually non-existent (Gell-Mann 1993). In the realm of the city, these interrelationships are almost unexplored in urban planning and social science (Portugali 2011, de Roo et al. 2012). These gaps have critical consequences. The most critical of these gaps is the lack of understanding of the increasing complexity of urban society and our inability to manage it and its consequences, which is largely and silently responsible for our current predicament.

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However, in contrast, complexity is acknowledged as not only the overarching contemporary times, but it is even celebrated, sought, and planned. Ever larger complex socio-technical systems are evident in corporate and government institutions and infrastructural mega-projects, big data and telecomm networks, and the technologies necessary for deep explorations of space, oceans, and particulate matter, or even the most apparent manifestation may be the city itself. In the realm of human settlements, the size of urban agglomerations is exploding, leading to an increasing variety of labels for large urban agglomerations, such as the megalopolis and the mega-city region (Newman and Kenworthy 2011; Hull 2011). The overall urban population of the planet is also increasing, both in absolute and relative / proportional terms. The overlapping and interlacing multiple national economies, infrastructures and the other characteristics of urban life intensify the expanding complexity that increasingly spins out of control. In so doing, we witness that the largely negative (on aggregate) indicators of social, health, environmental, and political well-being abundantly mark our collective descent from order to complexity to chaos. This is due to the fact that we have not been able to manage the complexity we have unleashed, but rather merely marvel at its portent.

Complexity, it appears, is difficult to manage because it is emergent, evolving, and unpredictable in contemporary society without precedents in type, form, scale, and its rate of change. Metropolitan areas, and city regions are recognized as increasingly difficult to manage, and are becoming fully ungovernable (citation). This is because increases in complexity require more sophisticated data, management, and resources, not the least of which are technological. This unintended consequence of complexity, the need for more information and energy to attempt to control it, is itself extraordinarily energy intensive (International 2012). This leads to increasing consumption of energy and other resources to support management and governance infrastructures, and the people that are engaged in those activities. Is urban planning and governance up to the challenges?

In policy arenas, including its scholarship, the role of complexity is increasingly being questioned, whereas neoliberalism, globalisation, climate change, terrorism, etc. are being treated as usual suspects in the court of responsibility for our current predicament as a global, networked world. [insert a section here regarding the inter-relationship between complexity and these global predicaments] Underlying these conditions which I have identified as predicaments is the premise that at least part of the reason for which they exist can be traced to a complexity that extends not only beyond our capacity to cope and manage at the organizational, urban and societal scales; but it extends more crucially beyond our personal or individual abilities to understand and thus to navigate in order to survive and give meaning to our lives, and how to go about making them more meaningful.

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sustainable in the future. In this context we begin to see that while simplicity and complexity lauded, these stances mask fundamental insights that have important consequences for society and fundamental relevance for the future.

Exposition of the Argument An underlying premise of the paper is that what lies at the heart of the difference between complexity and simplicity with sustainability are their differences. Simple systems have fewer parts. They tend to be smaller, have fewer interactions, follow physical laws, and be more predictable and more reliable. Complex systems tend to have more parts. They tend to be larger, have more interactions, follow natural as well as physical laws, and are more dissipative, emergent, and thus less predictable and reliable. When the complexity of a system exceeds a set of limits that cannot be pre-determined, and cannot be managed by the system itself (that is, the system cannot be self-organised), then it will lose its self-governance capacity as well as surpass the capacity of its environs. This leads to instability, and over the longer run, crisis. Here crisis means the inability to change sufficiently to respond to altering conditions and perturbations in order to remain itself sustainably. When a system loses its ability to reproduce itself, it goes into a state of crisis. The outcome of a true crisis is the demise of the system, or its radical transformation into a new type of system.

The rates at which these phenomena occur highlight the importance of understanding these processes (Neuman and Churchill, 2011). Natural ecosystems, for example, are complex and their complexity evolves in dynamic equilibrium over the long run via adaptation and other natural mechanisms the inescapable laws of nature. One key factor that distinguishes natural ecosystems from human ones (cities, societies) is the rate of change of these mechanisms and processes. These rates of change are gradual, they occur naturally in a trial and error sequence of adaptation to surroundings and circumstances, and biologists call evolution. Moreover, these rates of change in the natural world, at least, are sustainable ones. As such, these rates of change and processes (adaptation, evolution) should inform current debates about urban progress, economic development, and politics.

However, complex social systems including institutions, cities, societies, nations, and so on; are subject to fluxes in conditions that are not necessarily only evolutionary but are also punctuated events and phenomena that lead to catastrophe such as wars, pandemics, and respond to catastrophe, such as famine or draught. While learning and progress occur in these circumstances, more often than not these catastrophic events reveal that the current conditions of human society are not sustainable, equitable, and respectful of the basic rights of other beings. [transition section here from the importance of the

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to the nature of management and governance being linear, rational and thus hamstrung by its capacity to respond to complexity, chaos, and catastrophes.]

Another key factor that distinguishes complexity from simplicity and complexity from simple systems is that the degree of complexity in nature is managed via evolution, while in urban society complexity has been managed largely via rational, linear tools that cope poorly with interdependencies among a multiplicity of actors and with their dynamic uncertainties. The latter factors that complicate matters are further magnified by the accelerating rates of change and scales of impacts. The interplay of all these factors give rise to degrees of complexity that lie beyond our cognitive and institutional capacities to understand, much less manage, these processes and their outcomes and their impacts.

Yet we rush headlong into more complexity due to the thrills, the convenience, and the productivity increases it affords. Think of the cloud, digital games, films, social media creations, and an endless list of other gadgets and capabilities. The conveniences that occur throughout the city are enabled and put into place by increasing complexity: international trade, globalisation, and elaborate governance and a complex apparatus attendant to the global political economy. At what costs, to our health, to our communities, societies, other species and planet does this rush entail? Are we rushing blindly into this rush, with deficient decision mechanisms (Kahneman 2011)?

Compounding this complexity is parallel and increasingly intertwined dual worlds: the real and the virtual, with prospects unknown and unknowable. The outcomes spinning from real and virtual reality and their interactions defy nature as they draw us in deeper into the virtual, humanity from the grounding of nature. Within urban society we are increasingly surrounded by computer-generated algorithms we do not control, or think we control, while they spin consequences and implications we can scarcely imagine, much less control.

In this context, people increasingly opt out, move away from the big city, and seek alternative means in order to simplify, cope, and survive. They adopt and adapt a multiplicity of strategies in order to navigate the complex urban realm (de Certeau 1984).

The remainder of the full paper will address the intersection between simplicity and complexity by addressing two aspects. First is a brief critical history, exploring how and which themes of complexity and simplicity have been mobilized both as conceptual tools and as political economy agendas in cities. Second is a preliminary mapping of complexity

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responsesKey questions in the analysis of the intersection between simpli
complexity include: Are societies destined to collapse due to their unstoppable
towards ever-increasing complexity (Tainter 1988)? Is simplicity the only pa
sustainable survival? (Alexander and McLeod 2014) Are there intermediate ways?

Conclusion in abstract form:exploratory research seeks to make new sense of th
continuing and seemingly intractable conundrum of the consumer urban society
policies that enable it in the urban context. It will also target the key i
existing political economy arrangements guiding urban planning and governance
advantage of the opportunities in the twenty-first century, or are new norm
necessary? In sum, the paper will have engaged the intertwined issues of sim
complexity, as well as their implications, in order to draw conclusions that are
especially in the urban governance context.

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